

# Relations

## Chapter 9

# Chapter Summary

- ❖ Relations and Their Properties
- ❖  $n$ -ary Relations and Their Applications (*not currently included in overheads*)
- ❖ Representing Relations
- ❖ Closures of Relations (*not currently included in overheads*)
- ❖ Equivalence Relations
- ❖ Partial Orderings

# Relations and Their Properties

Section 9.1

# Section Summary

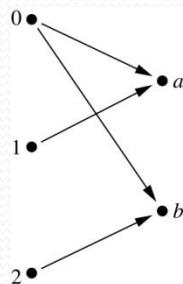
- ❖ Relations and Functions
- ❖ Properties of Relations
  - ❖ Reflexive Relations
  - ❖ Symmetric and Antisymmetric Relations
  - ❖ Transitive Relations
- ❖ Combining Relations

# Binary Relations

**Definition:** A *binary relation*  $R$  from a set  $A$  to a set  $B$  is a subset  $R \subseteq A \times B$ .

**Example:**

- Let  $A = \{0,1,2\}$  and  $B = \{a,b\}$
- $\{(0, a), (0, b), (1, a), (2, b)\}$  is a relation from  $A$  to  $B$ .
- We can represent relations from a set  $A$  to a set  $B$  graphically or using a table:



$R$	$a$	$b$
0	×	×
1	×	
2		×

Relations are more general than functions. A function is a relation where exactly one element of  $B$  is related to each element of  $A$ .

# Binary Relation on a Set

**Definition:** A binary relation  $R$  on a set  $A$  is a subset of  $A \times A$  or a relation from  $A$  to  $A$ .

**Example:**

- Suppose that  $A = \{a, b, c\}$ . Then  $R = \{(a, a), (a, b), (a, c)\}$  is a relation on  $A$ .
- Let  $A = \{1, 2, 3, 4\}$ . The ordered pairs in the relation  $R = \{(a, b) \mid a \text{ divides } b\}$  are  $(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (2, 4), (3, 3)$ , and  $(4, 4)$ .

# Binary Relation on a Set (cont.)

**Question:** How many relations are there on a set  $A$ ?

**Solution:** Because a relation on  $A$  is the same thing as a subset of  $A \times A$ , we count the subsets of  $A \times A$ . Since  $A \times A$  has  $n^2$  elements when  $A$  has  $n$  elements, and a set with  $m$  elements has  $2^m$  subsets, there are  $2^{|A|^2}$  subsets of  $A \times A$ . Therefore, there are  $2^{|A|^2}$  relations on a set  $A$ .

# Binary Relations on a Set (cont.)

**Example:** Consider these relations on the set of integers:

$$R_1 = \{(a,b) \mid a \leq b\},$$

$$R_4 = \{(a,b) \mid a = b\},$$

$$R_2 = \{(a,b) \mid a > b\},$$

$$R_5 = \{(a,b) \mid a = b + 1\},$$

$$R_3 = \{(a,b) \mid a = b \text{ or } a = -b\},$$

$$R_6 = \{(a,b) \mid a + b \leq 3\}.$$

Note that these relations are on an infinite set and each of these relations is an infinite set.

Which of these relations contain each of the pairs

$$(1,1), (1, 2), (2, 1), (1, -1), \text{ and } (2, 2)?$$

**Solution:** Checking the conditions that define each relation, we see that the pair  $(1,1)$  is in  $R_1$ ,  $R_3$ ,  $R_4$ , and  $R_6$ :  $(1,2)$  is in  $R_1$  and  $R_6$ :  $(2,1)$  is in  $R_2$ ,  $R_5$ , and  $R_6$ :  $(1, -1)$  is in  $R_2$ ,  $R_3$ , and  $R_6$ :  $(2,2)$  is in  $R_1$ ,  $R_3$ , and  $R_4$ .

# Reflexive Relations

**Definition:**  $R$  is *reflexive* iff  $(a,a) \in R$  for every element  $a \in A$ . Written symbolically,  $R$  is reflexive if and only if

$$\forall x[x \in U \rightarrow (x,x) \in R]$$

**Example:** The following relations on the integers are reflexive:

$$R_1 = \{(a,b) \mid a \leq b\},$$

$$R_3 = \{(a,b) \mid a = b \text{ or } a = -b\},$$

$$R_4 = \{(a,b) \mid a = b\}.$$

If  $A = \emptyset$  then the empty relation is reflexive vacuously. That is the empty relation on an empty set is reflexive!

The following relations are not reflexive:

$$R_2 = \{(a,b) \mid a > b\} \text{ (note that } 3 \not> 3\text{)},$$

$$R_5 = \{(a,b) \mid a = b + 1\} \text{ (note that } 3 \neq 3 + 1\text{)},$$

$$R_6 = \{(a,b) \mid a + b \leq 3\} \text{ (note that } 4 + 4 \not\leq 3\text{)}.$$

# Symmetric Relations

**Definition:**  $R$  is symmetric iff  $(b,a) \in R$  whenever  $(a,b) \in R$  for all  $a,b \in A$ . Written symbolically,  $R$  is symmetric if and only if

$$\forall x \forall y [(x,y) \in R \rightarrow (y,x) \in R]$$

**Example:** The following relations on the integers are symmetric:

$$R_3 = \{(a,b) \mid a = b \text{ or } a = -b\},$$

$$R_4 = \{(a,b) \mid a = b\},$$

$$R_6 = \{(a,b) \mid a + b \leq 3\}.$$

The following are not symmetric:

$$R_1 = \{(a,b) \mid a \leq b\} \text{ (note that } 3 \leq 4, \text{ but } 4 \not\leq 3\text{)},$$

$$R_2 = \{(a,b) \mid a > b\} \text{ (note that } 4 > 3, \text{ but } 3 \not> 4\text{)},$$

$$R_5 = \{(a,b) \mid a = b + 1\} \text{ (note that } 4 = 3 + 1, \text{ but } 3 \neq 4 + 1\text{)}.$$

# Antisymmetric Relations

**Definition:** A relation  $R$  on a set  $A$  such that for all  $a, b \in A$  if  $(a, b) \in R$  and  $(b, a) \in R$ , then  $a = b$  is called *antisymmetric*.

Written symbolically,  $R$  is antisymmetric if and only if

$$\forall x \forall y [(x, y) \in R \wedge (y, x) \in R \rightarrow x = y]$$

**Example:** The following relations on the integers are antisymmetric:

$$R_1 = \{(a, b) \mid a \leq b\},$$

For any integer, if  $a \leq b$  and  $a \leq b$ , then  $a = b$ .

$$R_2 = \{(a, b) \mid a > b\},$$

$$R_4 = \{(a, b) \mid a = b\},$$

$$R_5 = \{(a, b) \mid a = b + 1\}.$$

The following relations are not antisymmetric:

$$R_3 = \{(a, b) \mid a = b \text{ or } a = -b\}$$

(note that both  $(1, -1)$  and  $(-1, 1)$  belong to  $R_3$ ),

$$R_6 = \{(a, b) \mid a + b \leq 3\}$$
 (note that both  $(1, 2)$  and  $(2, 1)$  belong to  $R_6$ ).

# Transitive Relations

**Definition:** A relation  $R$  on a set  $A$  is called transitive if whenever  $(a,b) \in R$  and  $(b,c) \in R$ , then  $(a,c) \in R$ , for all  $a,b,c \in A$ . Written symbolically,  $R$  is transitive if and only if

$$\forall x \forall y \forall z [(x,y) \in R \wedge (y,z) \in R \rightarrow (x,z) \in R]$$

**Example:** The following relations on the integers are transitive:

$$R_1 = \{(a,b) \mid a \leq b\},$$

For every integer,  $a \leq b$  and  $b \leq c$ , then  $b \leq c$ .

$$R_2 = \{(a,b) \mid a > b\},$$

$$R_3 = \{(a,b) \mid a = b \text{ or } a = -b\},$$

$$R_4 = \{(a,b) \mid a = b\}.$$

The following are not transitive:

$R_5 = \{(a,b) \mid a = b + 1\}$  (note that both  $(3,2)$  and  $(4,3)$  belong to  $R_5$ , but not  $(3,3)$ ),

$R_6 = \{(a,b) \mid a + b \leq 3\}$  (note that both  $(2,1)$  and  $(1,2)$  belong to  $R_6$ , but not  $(2,2)$ ).

# Combining Relations

Given two relations  $R_1$  and  $R_2$ , we can combine them using basic set operations to form new relations such as  $R_1 \cup R_2$ ,  $R_1 \cap R_2$ ,  $R_1 - R_2$ , and  $R_2 - R_1$ .

**Example:** Let  $A = \{1,2,3\}$  and  $B = \{1,2,3,4\}$ . The relations  $R_1 = \{(1,1),(2,2),(3,3)\}$  and  $R_2 = \{(1,1),(1,2),(1,3),(1,4)\}$  can be combined using basic set operations to form new relations:

$$R_1 \cup R_2 = \{(1,1),(1,2),(1,3),(1,4),(2,2),(3,3)\}$$

$$R_1 \cap R_2 = \{(1,1)\} \quad R_1 - R_2 = \{(2,2),(3,3)\}$$

$$R_2 - R_1 = \{(1,2),(1,3),(1,4)\}$$

# Composition

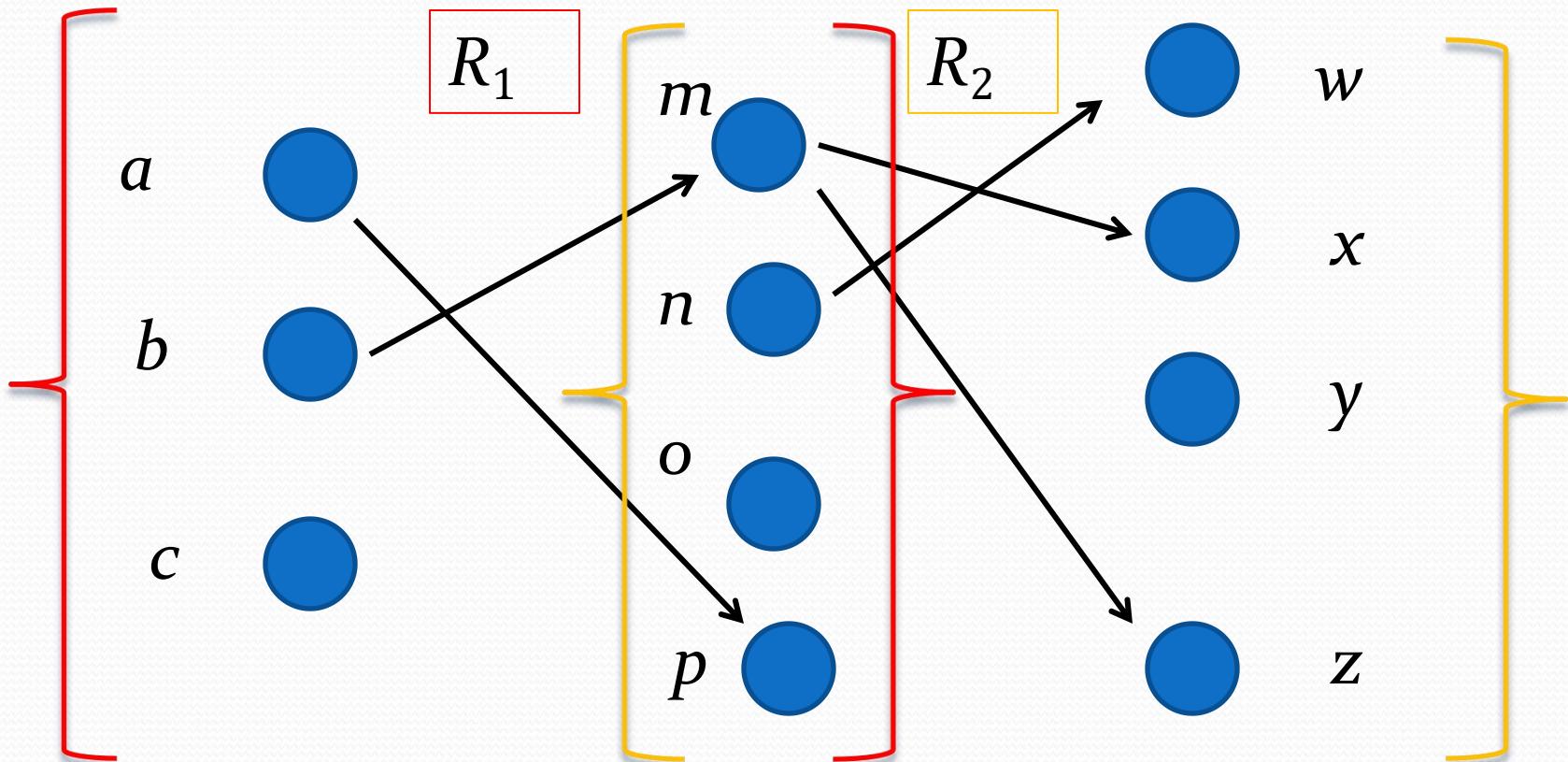
**Definition:** Suppose

- $R_1$  is a relation from a set  $A$  to a set  $B$ .
- $R_2$  is a relation from  $B$  to a set  $C$ .

Then the *composition* (or *composite*) of  $R_2$  with  $R_1$ , is a relation from  $A$  to  $C$  where

- if  $(x,y)$  is a member of  $R_1$  and  $(y,z)$  is a member of  $R_2$ , then  $(x,z)$  is a member of  $R_2 \circ R_1$ .

# Representing the Composition of a Relation



$$R_2 \circ R_1 = \{(b, x), (b, z)\}$$

# Powers of a Relation

**Definition:** Let  $R$  be a binary relation on  $A$ . Then the powers  $R^n$  of the relation  $R$  can be defined inductively by:

❖ Basis Step:  $R^1 = R$

❖ Inductive Step:  $R^{n+1} = R^n \circ R$

*(see the slides for Section 9.3 for further insights)*

The powers of a transitive relation are subsets of the relation. This is established by the following theorem:

**Theorem 1:** The relation  $R$  on a set  $A$  is transitive iff  $R^n \subseteq R$  for  $n = 1, 2, 3, \dots$

*(see the text for a proof via mathematical induction)*

# Representing Relations

Section 9.3

# Section Summary

- ❖ Representing Relations using Matrices
- ❖ Representing Relations using Digraphs

# Representing Relations Using Matrices

- A relation between finite sets can be represented using a zero-one matrix.
- Suppose  $R$  is a relation from  $A = \{a_1, a_2, \dots, a_m\}$  to  $B = \{b_1, b_2, \dots, b_n\}$ .
  - The elements of the two sets can be listed in any particular arbitrary order. When  $A = B$ , we use the same ordering.
- The relation  $R$  is represented by the matrix  $M_R = [m_{ij}]$ , where

$$m_{ij} = \begin{cases} 1 & \text{if } (a_i, b_j) \in R, \\ 0 & \text{if } (a_i, b_j) \notin R. \end{cases}$$

- The matrix representing  $R$  has a 1 as its  $(i, j)$  entry when  $a_i$  is related to  $b_j$  and a 0 if  $a_i$  is not related to  $b_j$ .

# Examples of Representing Relations Using Matrices

**Example 1:** Suppose that  $A = \{1,2,3\}$  and  $B = \{1,2\}$ . Let  $R$  be the relation from  $A$  to  $B$  containing  $(a,b)$  if  $a \in A$ ,  $b \in B$ , and  $a > b$ . What is the matrix representing  $R$  (assuming the ordering of elements is the same as the increasing numerical order)?

**Solution:** Because  $R = \{(2,1), (3,1), (3,2)\}$ , the matrix is

$$M_R = \begin{bmatrix} 0 & 0 \\ 1 & 0 \\ 1 & 1 \end{bmatrix}.$$

# Examples of Representing Relations Using Matrices (cont.)

**Example 2:** Let  $A = \{a_1, a_2, a_3\}$  and  $B = \{b_1, b_2, b_3, b_4, b_5\}$ . Which ordered pairs are in the relation  $R$  represented by the matrix

$$M_R = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 \end{bmatrix} ?$$

**Solution:** Because  $R$  consists of those ordered pairs  $(a_i, b_j)$  with  $m_{ij} = 1$ , it follows that:

$$R = \{(a_1, b_2), (a_2, b_1), (a_2, b_3), (a_2, b_4), (a_3, b_1), (a_3, b_3), (a_3, b_5)\}.$$

# Matrices of Relations on Sets

- If  $R$  is a reflexive relation, all the elements on the main diagonal of  $M_R$  are equal to 1.

$$\begin{bmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & & \ddots & \\ & & & & 1 \end{bmatrix}$$

- $R$  is a symmetric relation, if and only if  $m_{ij} = 1$  whenever  $m_{ji} = 1$ .  $R$  is an antisymmetric relation, if and only if  $m_{ij} = 0$  or  $m_{ji} = 0$  when  $i \neq j$ .

$$\begin{bmatrix} & & 1 & \\ & & 0 & \\ 1 & & & \\ & 0 & & \end{bmatrix}$$

(a) Symmetric

$$\begin{bmatrix} & & 1 & 0 & \\ & & 0 & & \\ 0 & & & & \\ 0 & & & 1 & \\ & & & & 0 \end{bmatrix}$$

(b) Antisymmetric

# Example of a Relation on a Set

**Example 3:** Suppose that the relation  $R$  on a set is represented by the matrix

$$M_R = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix}.$$

Is  $R$  reflexive, symmetric, and/or antisymmetric?

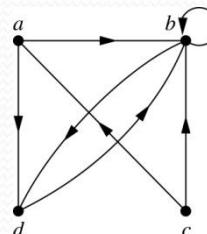
**Solution:** Because all the diagonal elements are equal to 1,  $R$  is reflexive. Because  $M_R$  is symmetric,  $R$  is symmetric and not antisymmetric because both  $m_{1,2}$  and  $m_{2,1}$  are 1 (but  $1 \neq 2$ ).

# Representing Relations Using Digraphs

**Definition:** A *directed graph*, or *digraph*, consists of a set  $V$  of *vertices* (or *nodes*) together with a set  $E$  of ordered pairs of elements of  $V$  called *edges* (or *arcs*). The vertex  $a$  is called the *initial vertex* of the edge  $(a,b)$ , and the vertex  $b$  is called the *terminal vertex* of this edge.

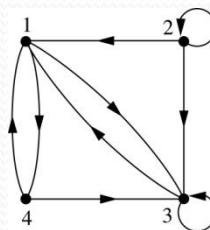
❖ An edge of the form  $(a,a)$  is called a *loop*.

**Example 7:** A drawing of the directed graph with vertices  $a$ ,  $b$ ,  $c$ , and  $d$ , and edges  $(a, b)$ ,  $(a, d)$ ,  $(b, b)$ ,  $(b, d)$ ,  $(c, a)$ ,  $(c, b)$ , and  $(d, b)$  is shown here.



# Examples of Digraphs Representing Relations

**Example 8:** What are the ordered pairs in the relation represented by this directed graph?

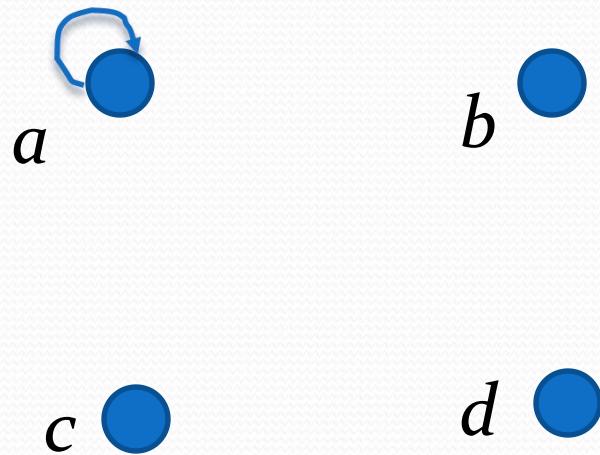


**Solution:** The ordered pairs in the relation are  $(1, 3)$ ,  $(1, 4)$ ,  $(2, 1)$ ,  $(2, 2)$ ,  $(2, 3)$ ,  $(3, 1)$ ,  $(3, 3)$ ,  $(4, 1)$ , and  $(4, 3)$

# Determining which Properties a Relation has from its Digraph

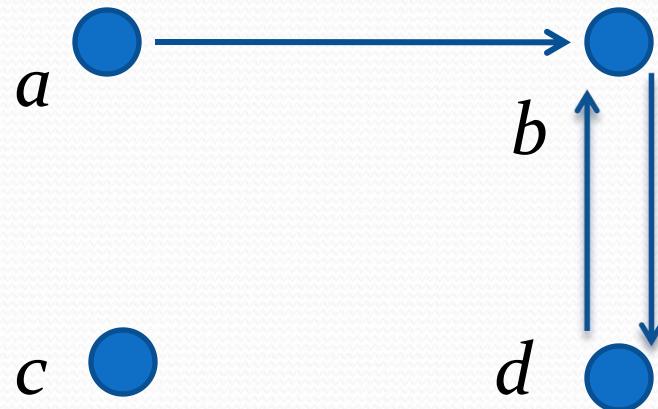
- ❖ *Reflexivity*: A loop must be present at all vertices in the graph.
- ❖ *Symmetry*: If  $(x,y)$  is an edge, then so is  $(y,x)$ .
- ❖ *Antisymmetry*: If  $(x,y)$  with  $x \neq y$  is an edge, then  $(y,x)$  is not an edge.
- ❖ *Transitivity*: If  $(x,y)$  and  $(y,z)$  are edges, then so is  $(x,z)$ .

# Determining which Properties a Relation has from its Digraph – Example 1



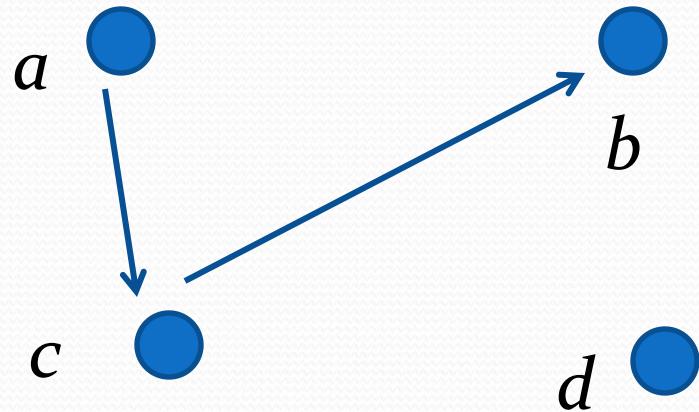
- *Reflexive*? No, not every vertex has a loop
- *Symmetric*? Yes (trivially), there is no edge from one vertex to another
- *Antisymmetric*? Yes (trivially), there is no edge from one vertex to another
- *Transitive*? Yes, (trivially) since there is no edge from one vertex to another

# Determining which Properties a Relation has from its Digraph – Example 2



- *Reflexive*? No, there are no loops
- *Symmetric*? No, there is an edge from  $a$  to  $b$ , but not from  $b$  to  $a$
- *Antisymmetric*? No, there is an edge from  $d$  to  $b$  and  $b$  to  $d$
- *Transitive*? No, there are edges from  $a$  to  $c$  and from  $c$  to  $b$ , but there is no edge from  $a$  to  $d$

# Determining which Properties a Relation has from its Digraph – Example 3



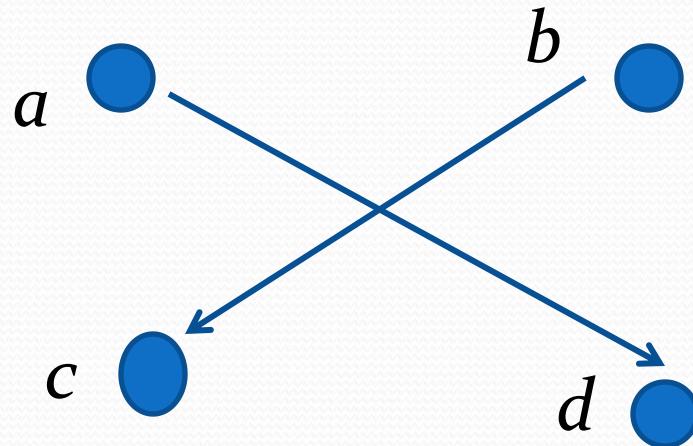
*Reflexive?* No, there are no loops

*Symmetric?* No, for example, there is no edge from  $c$  to  $a$

*Antisymmetric?* Yes, whenever there is an edge from one vertex to another, there is not one going back

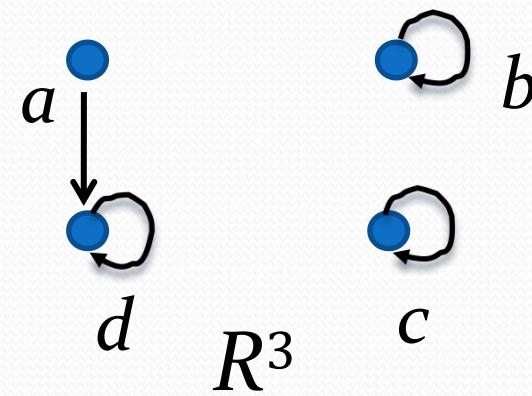
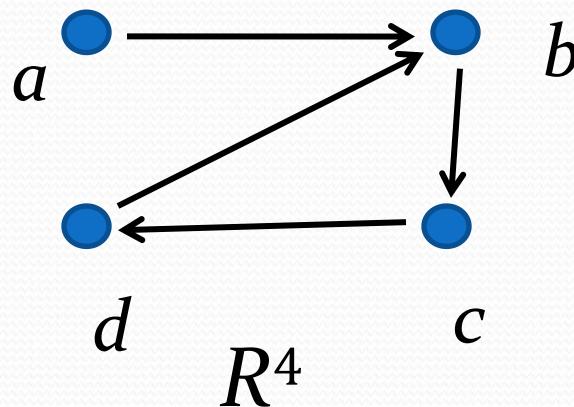
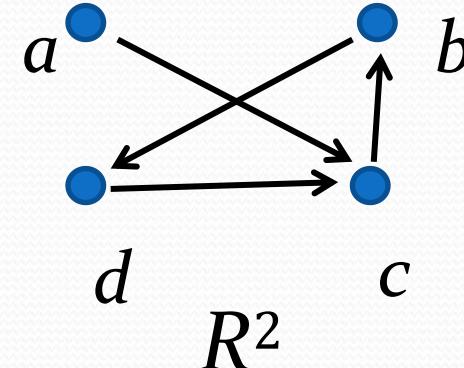
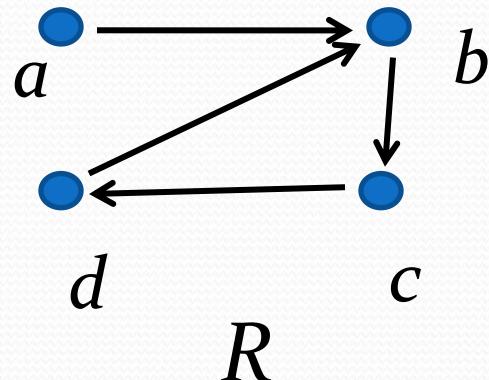
*Transitive?* No, there is no edge from  $a$  to  $b$

# Determining which Properties a Relation has from its Digraph – Example 4



- *Reflexive*? No, there are no loops
- *Symmetric*? No, for example, there is no edge from  $d$  to  $a$
- *Antisymmetric*? Yes, whenever there is an edge from one vertex to another, there is not one going back
- *Transitive*? Yes (trivially), there are no two edges where the first edge ends at the vertex where the second edge begins

# Example of the Powers of a Relation



The pair  $(x,y)$  is in  $R^n$  if there is a path of length  $n$  from  $x$  to  $y$  in  $R$  (following the direction of the arrows).